

# Unstable modes near NLS Akhmediev breather

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# Rogue waves

Rogue waves (freak waves, anomalous waves) in the ocean the great short-living waves appearing from almost nowhere.



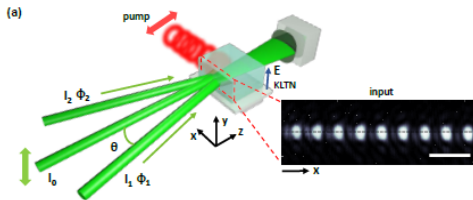
Figure: Akademik Ioffe ship, Drake Strait

# Rogue waves



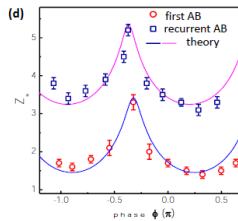
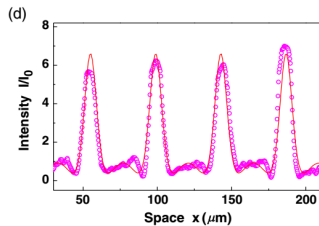
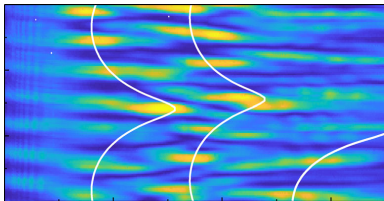
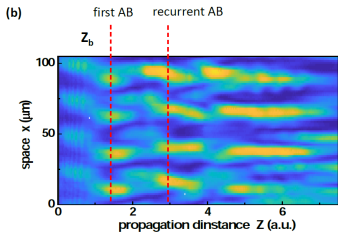
The RW recurrence in the periodic setting has been recently observed in experiments in water waves [Onorato et al '13], in fiber optics [Trillo et al '18], and [in a photorefractive crystal](#):

[Pierangeli D., Flammini M., Zhang L., Marcucci G., Agrat A.J., Grinevich P.G., Santini P.M., Conti C., DelRe E.](#) “Observation of Fermi-Pasta-Ulam-Tsingou recurrence and its exact dynamics”, *Physical Review X*, 2018, v. 8, issue 4, p. 041017 (9 pages); doi:10.1103/PhysRevX.8.041017;



The symmetric 3-wave interferometric scheme used to generate the background wave with a single-mode perturbation propagating in a pumped photorefractive KLTN (potassium-lithium-tantalate-niobate) crystal.

Since NLS  $i\psi_z + \psi_{xx} + 2|\psi|^2\psi = 0$  is supposed to describe the above physics only at the leading order, one expects that the exact NLS RW recurrence be replaced by a “Fermi-Pasta-Ulam” - type recurrence, before thermalization destroys the pattern.



# Mathematical model – Focusing NLS

We study the anomalous waves on the focusing NLS equation (SfNLS) with **periodic boundary conditions**:

$$iu_t + u_{xx} + 2u^2\bar{u} = 0$$

We use the following Cauchy data (anomalous waves Cauchy problem):

$$u(x, 0) = a + \epsilon v(x), \quad v(x + L) \equiv v(x), \quad |\epsilon| \ll 1,$$
$$v(x) = \sum_{j \geq 1} (c_j e^{ik_j x} + c_{-j} e^{-ik_j x}), \quad k_j = \frac{2\pi}{L} j, \quad |c_j| = O(1),$$

To simplify calculations we also assume that the period  $L$  is generic:  $L \neq \pi n$ ,  $n \in \mathbb{Z}$ .

# Unstable modes

The unstable background: ( $\epsilon = 0$ ):

$$u_0(x, t) = ae^{2i|a|^2 t}.$$

The first  $N$  harmonics are unstable, where

$$N = \left\lceil \frac{|a|L}{\pi} \right\rceil$$

with the growing factors in the linear mode are:

$$\sigma_j = |a|k_j \sqrt{4|c_0|^2 - k_j^2}, \quad 1 \leq j \leq N,$$

All other modes are stable. They give only small corrections and we discard them.

**We assume:**  $\pi/|a| < L < 2\pi/|a|$  i.e. **we have exactly one unstable mode**. Therefore:

$$u(x, 0) = a \left( 1 + \epsilon (c_1 e^{k_1 x} + c_{-1} e^{-ik_1 x}) \right), \quad k_1 = \frac{2\pi}{L}, \quad \epsilon \ll 1,$$



# Akhmediev breathers

The unstable mode is described by Riemann theta functions of 2 variables. **They are rather complicated.**

But for this special Cauchy data it admits a good approximation as a sequence of Akhmediev breathers (Grinevich–Santini).

## Akhmediev breathers:

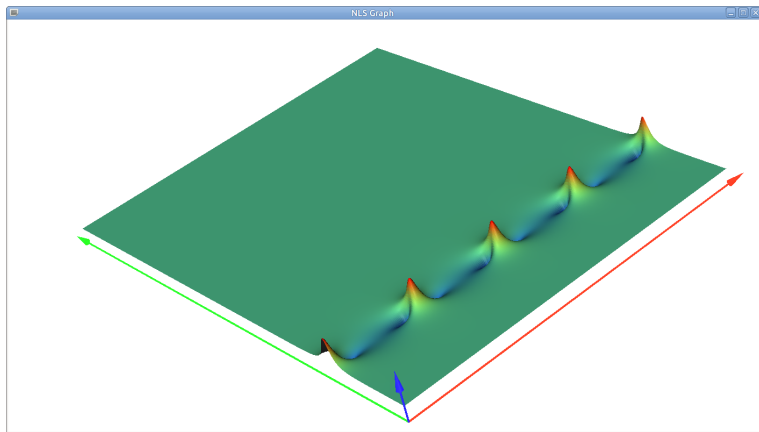
N. N. Akhmediev, V. M. Eleonskii, and N. E. Kulagin, “Exact first order solutions of the Nonlinear Schdinger equation”, Theor. Math. Phys, 72, 809 (1987).

$$\begin{aligned} \mathcal{A}(x, t; \theta, X, T) &= \\ &= a e^{2i|a|^2 t} \cdot \frac{\cosh[\sigma(\theta)(t - T) + 2i\theta] + \sin \theta \cos[k(\theta)(x - X)]}{\cosh[\sigma(\theta)(t - T)] - \sin \theta \cos[k(\theta)(x - X)]}, \end{aligned}$$

$$k_1 = k(\theta) = 2|a| \cos \theta, \quad \sigma(\theta) = k(\theta) \sqrt{4|a|^2 - k^2(\theta)} = 2|a|^2 \sin(2\theta),$$

# Akhmediev breathers

They are spatially periodic and localized in time:



The  $x$  coordinate axis marked red, the  $t$  coordinate axis marked green. In the future we draw only one period of solution with respect to  $x$ .

# One unstable mode

Approximation of the genus 2 solution:

$$u(x, t) = \sum_{m=0}^n \mathcal{A}\left(x, t; \phi_1, x^{(m)}, t^{(m)}\right) e^{i\rho^{(m)}} - \frac{1 - e^{4in\phi_1}}{1 - e^{4i\phi_1}} a e^{2i|a|^2 t}, \quad x \in [0, L],$$

with the following parameters, expressed in terms of **elementary functions**:

$$x^{(m)} = X^{(1)} + (m-1)\Delta X, \quad t^{(m)} = T^{(1)} + (m-1)\Delta T,$$

$$X^{(1)} = \frac{\arg \alpha}{k_1} + \frac{L}{4}, \quad \Delta X = \frac{\arg(\alpha\beta)}{k_1}, \quad (\text{mod } L),$$

$$T^{(1)} \equiv \frac{1}{\sigma_1} \log\left(\frac{\sigma_1^2}{2|a|^4 \epsilon |\alpha|}\right), \quad \Delta T = \frac{1}{\sigma_1} \log\left(\frac{\sigma_1^4}{4|a|^8 \epsilon^2 |\alpha\beta|}\right),$$

$$\rho^{(m)} = 2\phi_1 + (m-1)4\phi_1, \quad n = \left\lfloor \frac{T - T^{(1)}}{\Delta T} + \frac{1}{2} \right\rfloor,$$

$$\cos \phi_1 = \frac{\pi}{L|a|}, \quad \alpha = e^{-i\phi_1} \bar{c}_1 - e^{i\phi_1} c_{-1}, \quad \beta = e^{i\phi_1} \bar{c}_{-1} - e^{-i\phi_1} c_1,$$



# One unstable mode

The spectra curve has genus  $g = 2$  and 6 branch points:  $E_0, E_1, E_2, \bar{E}_0, \bar{E}_1, \bar{E}_2$ . The pair  $E_1, E_2$  is obtained as a result of splitting the resonant point  $\lambda_1 = i|a| \sin \phi_1$ :

$$E_l = \lambda_1 + (-1)^l \frac{\epsilon |a|^2}{2\lambda_1} \sqrt{\alpha\beta} + O(\epsilon^2), \quad l = 1, 2,$$

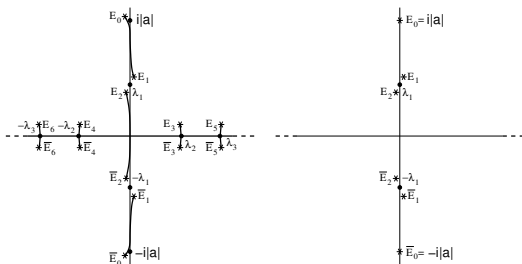


Figure: Right: the exact spectrum; Left: the approximating curve.

# One unstable mode

Generic solutions correspond to “long tori”.

The Akhmediev breather corresponds to the rational curve:

$$E_1 = E_2, \quad \bar{E}_1 = \bar{E}_2.$$

For Akhmediev breather we have a **homoclinic (whiskered) torus**:

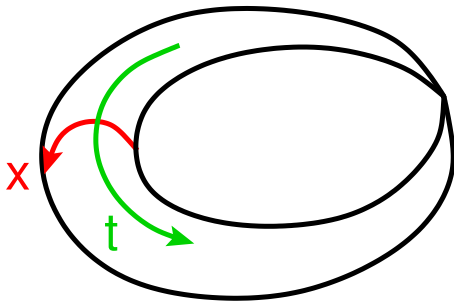


Figure: **x-dynamics** corresponds to the motion along the short cycle,  
**t-dynamics** corresponds to the motion along the infinite cycle

# Effect of small loss/gain

The first appearance of Akhmediev breather is very stable. In contrast, **the recurrence is very sensitive to perturbations of Cauchy data or equations.**

Effect of small loss/gain

$$iu_t + u_{xx} + 2u^2\bar{u} = -i\gamma u, \quad u = u(x, t), \quad \gamma \in \mathbb{R}, \quad |\gamma| \ll 1.$$

was recently analytically studied in:

**Coppini F., Grinevich P.G., Santini P.M.** “The effect of a small loss or gain in the periodic NLS anomalous wave dynamics. I” - Phys. Rev. E, 2020, v.101, No 3, 032204, 8 pages, - Published 6 March 2020; doi:10.1103/PhysRevE.101.032204.

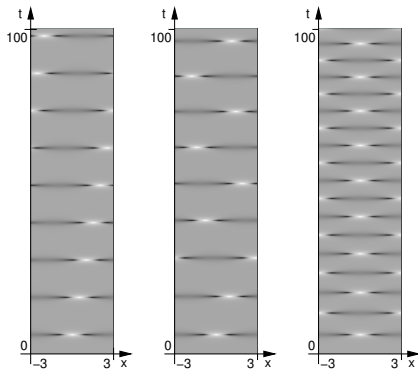
# Small loss/gain – experiments and numerics:

Our aim was to explain the results of experimental and numerical observations:

O. Kimmoun, H.C. Hsu, H. Branger, M.S. Li, Y.Y. Chen, C. Kharif, M. Onorato, E.J.R. Kelleher, B. Kibler, N. Akhmediev, A. Chabchoub, “Modulation Instability and Phase-Shifted Fermi-Pasta-Ulam Recurrence”, *Scientific Reports*, **6**, Article number: 28516 (2016), doi:10.1038/srep28516.

J.M. Soto-Crespo, N. Devine, and N. Akhmediev, “Adiabatic transformation of continuous waves into trains of pulses”, *PHYSICAL REVIEW A*, **96**, 023825 (2017).

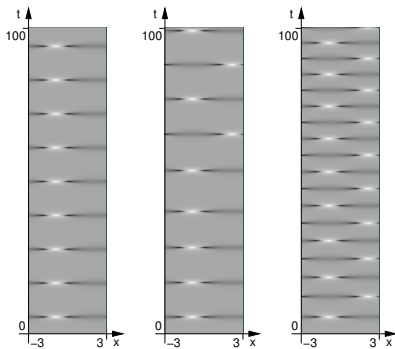
# Generic initial data:



**Figure:**  $-L/2 \leq x \leq L/2$ ,  $0 \leq t \leq 100$ ,  $L = 6$ ,  $\epsilon = 10^{-4}$ , generic initial data:  $c_1 = 0.5$  and  $c_{-1} = 0.15 - 0.2i$ . From left to right:  $\nu = 0$ ,  $\nu = 10^{-9}$ , and  $\nu = 10^{-5}$ . The first appearance is essentially the same in all the three cases.



# Symmetric initial data:



**Figure:** The density plot of  $|u(x, t)|$  with  $-L/2 \leq x \leq L/2$ ,  $0 \leq t \leq 100$ ,  $L = 6$ ,  $\epsilon = 10^{-4}$ , for a real initial condition ( $c_{-j} = \bar{c}_j$ ,  $\forall j$ ), with  $c_1 = 0.3 + 0.4i$ . Consequently  $\alpha\beta > 0$ . Left picture:  $\nu = 0$ , then  $\Delta X = 0$ . Center picture:  $\nu = 10^{-9}$ ; then for  $\tilde{m} = 6$ ,  $Q_m$  changes its sign, from positive to negative values; correspondingly,  $\Delta X_m$  switches from 0 to  $L/2$ . Right picture:  $\nu = 10^{-5}$ ; then all  $Q_m$  are negative and  $\Delta X_m = L/2 \forall m$ . The first appearance is essentially the same in all the three cases.

# Strong and weak losses.

The effect of strong losses was discussed in :

H. Segur, D. Henderson, J. Carter, J. Hammack, C.-M. Li, D. Pheiff, and K. Socha, “Stabilizing the Benjamin-Feir instability”, *J. Fluid Mech.* 539, 229 (2005).

The background is unstable if:

$$\cos \phi_1 = \frac{\pi}{L|a|}, \Rightarrow \left| \frac{L|a|}{\pi} \right| > 1.$$

If  $a$  decays fast enough, at some moment the background become stable.

We were interested in the opposite situation:  $\nu \sim \epsilon^2$  and  $|a|$  is almost constant, but the recurrence **changes essentially**.

# Analytic formulas.

We have the following approximate formulas *the spectral curve is not time-invariant, but it changes each time we have an anomalous wave:*

$$(E_1 - E_2)^2 \Big|_{t=0} = -\frac{\epsilon^2 |a|^2 \alpha \beta}{\sin^2 \phi_1},$$

$$(E_1^{(m)} - E_2^{(m)})^2 = -\frac{\epsilon^2 |a|^2 \alpha \beta}{\sin^2 \phi_1} + 4m\nu \cot \phi_1, \quad m \geq 0,$$

where  $E_1^{(m)}, E_2^{(m)}$  are the branch points after the  $m^{\text{th}}$ -th breather. Therefore:

$$\Delta X_m := \tilde{\chi}^{(m+1)} - \tilde{\chi}^{(m)} = \frac{\arg(Q_m)}{k_1} \pmod{L},$$

$$\Delta T_m := \tilde{t}^{(m+1)} - \tilde{t}^{(m)} = \frac{1}{\sigma_1} \log \left( \frac{\sigma_1^4}{4\epsilon^2 |Q_m|} \right),$$

$$\epsilon^2 Q_m = \epsilon^2 \alpha \beta - \frac{\nu \sigma_1}{|a|^4} m, \quad m \geq 1, \quad (1)$$

# Evolution of the branch points

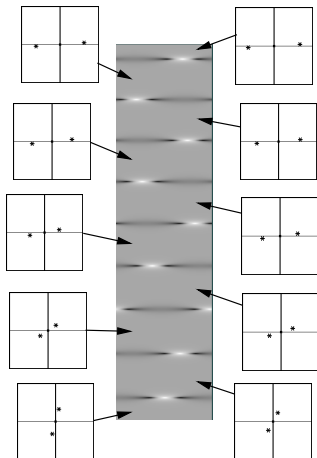
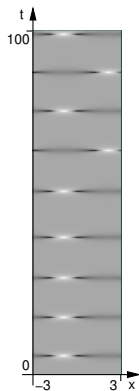


Figure: Evolution of the branch points

# Symmetric initial data numerics vs analytics:



$$\tilde{t}^{(1)} = 5.51209 \text{ (theory)}$$

$$\Delta T_1 = 11.18230 \text{ (theory)}$$

$$\Delta T_2 = 11.40337 \text{ (theory)}$$

$$\Delta T_3 = 11.77375 \text{ (theory)}$$

$$\Delta T_4 = 13.31847 \text{ (theory)}$$

$$\Delta T_5 = 11.84989 \text{ (theory)}$$

$$\Delta T_6 = 11.44140 \text{ (theory)}$$

$$\Delta T_7 = 11.20765 \text{ (theory)}$$

$$\Delta T_8 = 11.04319 \text{ (theory)}$$

$$\tilde{t}^{(1)} = 5.51208 \text{ (numerics)}$$

$$\Delta T_1 = 11.18230 \text{ (numerics)}$$

$$\Delta T_2 = 11.40338 \text{ (numerics);}$$

$$\Delta T_3 = 11.77376 \text{ (numerics);}$$

$$\Delta T_4 = 13.31848 \text{ (numerics);}$$

$$\Delta T_5 = 11.84988 \text{ (numerics);}$$

$$\Delta T_6 = 11.44142 \text{ (numerics);}$$

$$\Delta T_7 = 11.20766 \text{ (numerics);}$$

$$\Delta T_8 = 11.04320 \text{ (numerics)}$$

Linear perturbation stability of Akhmediev breathers was studied in:

[A. Calini, C.M. Schober](#), “Dynamical criteria for rogue waves in nonlinear Schrödinger models”, *Nonlinearity*, **25**:12 (2012) R99–R116; doi:10.1088/0951-7715/25/12/R99.

[A. Calini, C.M. Schober](#), “Observable and reproducible rogue waves”, *J. Opt.* 15 (2013) 105201 (9pp).

[A. Calini, C.M. Schober](#), “Numerical investigation of stability of breather-type solutions of the nonlinear Schrödinger equation”, *Nat. Hazards Earth Syst. Sci.*, 14, 14311440, 2014  
[www.nat-hazards-earth-syst-sci.net/14/1431/2014/doi:10.5194/nhess-14-1431-2014](http://www.nat-hazards-earth-syst-sci.net/14/1431/2014/doi:10.5194/nhess-14-1431-2014).

# Linear perturbation theory near Akhmediev breather

To simplify formulas we use the following gauge transformation.

$$u(x, t) \rightarrow \exp(2it)u(x, t), \quad \vec{\psi} \rightarrow \exp(i\sigma_3 t)\vec{\psi}, \quad \text{and}$$

$$iu_t + u_{xx} + 2|u|^2u - 2u = 0.$$

Let  $\vec{\phi} = \begin{pmatrix} \phi_1(\lambda, x) \\ \phi_2(\lambda, x) \end{pmatrix}$ ,  $\vec{\psi} = \begin{pmatrix} \psi_1(\lambda, x) \\ \psi_2(\lambda, x) \end{pmatrix}$  be Lax pair eigenfunctions

with the same  $\lambda$ . Then the squared eigenfunctions:

$$\begin{aligned} \langle \vec{\psi}(\lambda, x, t), \vec{\phi}(\lambda, x, t) \rangle_+ &:= \psi_1(\lambda, x, t)\phi_1(\lambda, x, t) + \overline{\psi_2(\lambda, x, t)\phi_2(\lambda, x, t)}, \\ \langle \vec{\psi}(\lambda, x, t), \vec{\phi}(\lambda, x, t) \rangle_- &:= i \left[ \psi_1(\lambda, x, t)\phi_1(\lambda, x, t) - \overline{\psi_2(\lambda, x, t)\phi_2(\lambda, x, t)} \right] \end{aligned}$$

satisfy the linearized NLS equation

$$iw_t + w_{xx} + 4|u|^2w + 2u^2\bar{w} - 2w = 0.$$

# Linear perturbation theory near Akhmediev breather

In the aforementioned papers it was shown that if we have  $N$  unstable modes and nonlinear superpositions of  $M$  Akhmediev breathers,  $M \leq N$  then

- 1 If not all unstable modes are excited ( $M < N$ ) then there exist  $x$ -periodic squared eigenfunctions exponentially growing in  $t$ ;
- 2 If all unstable modes are excited ( $M = N$ ) then all  $x$ -periodic with the period  $L$  squared eigenfunctions are bounded in  $t$ ;

Therefore the following conclusion was made:

- 1 If not all unstable modes are excited ( $M < N$ ), the solution is unstable;
- 2 If all unstable modes are excited ( $M = N$ ) then one has “saturation of instabilities”.

But the second conclusion contradicts our results, because **small perturbations generate recurrence**.



# Linear perturbation theory near Akhmediev breather

We obtained the following resolution of the paradox (we studied the case  $M = N = 1$ ):

P.G. Grinevich, P.M. Santini, “The linear and nonlinear instability of the Akhmediev breather”, arXiv:2011.11402.

Due to presence of non-removable double points the spectral decomposition of linearized NLS solutions includes not only  $x$ -periodic squared eigenfunctions, but also some special combinations of derivatives with respect to the spectral parameter.

Let us demonstrate the “missed modes”.

# The “missed modes”

For  $u_0 = 1$  we use the following basis of eigenfunctions:

$$\vec{\psi}_0^\pm(\lambda, x, t) = \begin{bmatrix} \sqrt{\mu \mp \lambda} \\ \pm \sqrt{\mu \pm \lambda} \end{bmatrix} e^{\pm\theta}, \quad \theta = i\mu x + 2i\mu\lambda t, \quad \mu^2 = \lambda^2 + 1.$$

Let us denote

$$\vec{q}(\lambda) = \begin{bmatrix} q_1(\lambda) \\ q_2(\lambda) \end{bmatrix} = \begin{bmatrix} \sqrt{\mu - \lambda} e^{\theta(\lambda)} + \sqrt{\mu + \lambda} e^{-\theta(\lambda)} \\ \sqrt{\mu + \lambda} e^{\theta(\lambda)} - \sqrt{\mu - \lambda} e^{-\theta(\lambda)} \end{bmatrix},$$

$$\vec{r}(\lambda) = \begin{bmatrix} r_1(\lambda) \\ r_2(\lambda) \end{bmatrix} = \begin{bmatrix} \sqrt{\mu - \lambda} e^{\theta(\lambda)} - \sqrt{\mu + \lambda} e^{-\theta(\lambda)} \\ \sqrt{\mu + \lambda} e^{\theta(\lambda)} + \sqrt{\mu - \lambda} e^{-\theta(\lambda)} \end{bmatrix},$$

$$\vec{\phi}(\lambda) = \begin{bmatrix} \phi_1(\lambda) \\ \phi_2(\lambda) \end{bmatrix} = \begin{bmatrix} 1 \\ (\mu + \lambda) \end{bmatrix} e^{\theta(\lambda)}$$

The unstable modes correspond to the pure imaginary part of the spectrum:

$$\mu \in \mathbb{R}, \quad \lambda \in i\mathbb{R}, \quad |\lambda| \leq 1.$$

# The “missed modes”

The resonant point is:

$$\lambda_1 = \sqrt{\mu_1^2 - 1}, \quad \mu_1 = \frac{k_1}{2} = \frac{\pi}{L},$$

$$k = k_1 = 2\mu_1, \quad \sigma = \sigma_1 = -4i\lambda_1\mu_1, \quad \theta(\lambda_1) = \frac{1}{2}(ikx - \sigma t).$$

Denote

$$\vec{q} = \vec{q}(\lambda_1), \quad \vec{r} = \vec{r}(\lambda_1),$$

The Darboux transformation operator is defined by:

$$\mathfrak{D} = (\lambda - \lambda_1)E + \frac{2\lambda_1}{|q_1|^2 + |q_2|^2} \begin{bmatrix} -\overline{q_2} \\ q_1 \end{bmatrix} [-q_2, q_1],$$

Operator  $\mathfrak{D}$  maps the background eigenfunctions to the eigenfunctions for the Akhmediev breather.

$$\vec{\psi}(\lambda) = \mathfrak{D}\vec{\psi}_0(\lambda)$$

# The “missed modes”

Here Akhmediev breather reads:

$$u(x, t) = \frac{(\lambda_1^2 + \mu_1^2) \cosh(\sigma t) + i\lambda_1 \sin(kx) + 2\mu_1 \lambda_1 \sinh(\sigma t)}{\cosh(\sigma t) - i\lambda_1 \sin(kx)}.$$

Let us denote

$$\vec{\chi}_+(\lambda) = \mathfrak{A}\vec{q}(\lambda), \quad \vec{\chi}_-(\lambda) = \mathfrak{A}\vec{r}(\lambda), \quad \tilde{\phi}(\lambda) = \mathfrak{A}\phi(\lambda),$$

$$f^{(n)}(x, t) := D_\mu^n f(\lambda, x, t) \Big|_{\lambda=\lambda_1},$$

where

$$D_\mu = \partial_\mu + \frac{\partial \lambda}{\partial \mu} \partial_\lambda = \partial_\mu + \frac{\mu}{\lambda} \partial_\lambda.$$

The last formula takes into account that  $\lambda = \sqrt{\mu^2 - 1}$ .

# The “missed modes”

The **real** derivatives of the squared eigenfunctions also satisfy linearized NSL. We consider the following combinations:

$$\begin{aligned}(D_\mu + D_{\bar{\mu}}) \langle \chi_+(\lambda), \chi_-(\lambda) \rangle_\pm \Big|_{\lambda=\lambda_1} &= \langle \chi_+^{(1)}, \chi_-^{(0)} \rangle_\pm, \\(D_\mu + D_{\bar{\mu}})^2 \langle \chi_+(\lambda), \chi_-(\lambda) \rangle_\pm \Big|_{\lambda=\lambda_1} &= \langle \chi_+^{(2)}, \chi_-^{(0)} \rangle_\pm + 2 \langle \chi_+^{(1)}, \chi_-^{(1)} \rangle_\pm, \\(D_\mu + D_{\bar{\mu}}) \langle \tilde{\phi}(\lambda), \tilde{\phi}(\lambda) \rangle_\pm \Big|_{\lambda=\lambda_1} &= 2 \langle \tilde{\phi}^{(1)}, \tilde{\phi}^{(0)} \rangle_\pm,\end{aligned}$$

# The “missed modes”

**Main statement** The following combinations of the derivatives of the squared eigenfunctions with respect to the spectral parameter:

$$\text{Sym}_1 = -2\lambda_1^2 \left[ \mu_1 \left( \left\langle \chi_+^{(2)}, \chi_-^{(0)} \right\rangle_+ + 2 \left\langle \chi_+^{(1)}, \chi_-^{(1)} \right\rangle_+ \right) - 4 \left\langle \chi_+^{(1)}, \chi_-^{(0)} \right\rangle_+ - 16 \left\langle \phi_+^{(1)}, \phi_-^{(0)} \right\rangle_+ \right],$$

$$\text{Sym}_2 = -2\lambda_1^2 \left( \left\langle \chi_+^{(2)}, \chi_-^{(0)} \right\rangle_- + 2 \left\langle \chi_+^{(1)}, \chi_-^{(1)} \right\rangle_- + \frac{2\mu_1}{\lambda_1^2} \left\langle \chi_+^{(1)}, \chi_-^{(0)} \right\rangle_- \right),$$

where have the following properties:

- They are  $x$ -periodic with period  $L$ ;
- They exponentially grow as  $t \rightarrow \pm\infty$ ;
- They are solutions of the linearized NLS near the Akhmediev breather.

Therefore they represent the “missed modes”.

# The “missed modes”

## Maple calculation:

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$$\begin{aligned}
 & -\frac{1}{4} (k (-16 \sinh(\sigma t) \cos(3 k x) k^2 + 8 \sinh(\sigma t) \cos(3 k x) k^4 - \sinh(\sigma t) \cos(3 k x) k^6 - 24 \sinh(\sigma t) \cos(k x) k^4 + \sinh(\sigma t) \cos(k x) k^6 \\
 & - 32 \sigma \sinh(2 \sigma t) k^2 + 8 \sigma \sinh(2 \sigma t) k^4 + 48 \sinh(3 \sigma t) \cos(k x) k^2 - 16 \sinh(3 \sigma t) \cos(k x) k^4 + 64 \sinh(\sigma t) \cos(k x) k^2 + 64 I k \sin(2 k x) \\
 & - 48 I k^3 \sin(2 k x) + 8 I k^3 \sin(2 k x) + 64 I \cos(2 k x) k^2 - 32 I \cos(2 k x) k^4 + 4 I \cos(2 k x) k^6 - 64 I \cosh(2 \sigma t) k^2 + 48 I \cosh(2 \sigma t) k^4 \\
 & - 8 I \cosh(2 \sigma t) k^6 + 128 I k^2 - 48 I k^4 + 4 I k^6 + 32 \sigma \sinh(2 \sigma t) \sin(2 k x) k - 8 \sigma \sinh(2 \sigma t) \sin(2 k x) k^3 + 64 I \sigma \cos(k x) \cosh(\sigma t) \\
 & + 16 I \sigma \cosh(3 \sigma t) \cos(k x) + 64 I k \cosh(2 \sigma t) \sin(2 k x) - 48 I k^3 \cosh(2 \sigma t) \sin(2 k x) + 8 I k^5 \cosh(2 \sigma t) \sin(2 k x) - 16 I \sigma \cosh(\sigma t) \cos(3 k x) \\
 & + I \sigma \cos(k x) \cosh(\sigma t) k^4 - 48 t \sigma \cos(k x) \cosh(\sigma t) k^4 + 8 t \sigma \cos(k x) \cosh(\sigma t) k^6 - 16 I \sigma \cosh(3 \sigma t) \cos(k x) k^2 - 40 I \sigma \cos(k x) \cosh(\sigma t) k^2 \\
 & - 192 t \cos(k x) \sinh(\sigma t) k^4 + 80 I t \cos(k x) \sinh(\sigma t) k^6 + 8 I \sigma \cosh(\sigma t) \cos(3 k x) k^2 - I \sigma \cosh(\sigma t) \cos(3 k x) k^4 - 8 I t \cos(k x) \sinh(\sigma t) k^6) / \\
 & (4 \cosh(\sigma t)^2 k + 4 \sigma \sin(k x) \cosh(\sigma t) + 4 k - k^3 - 4 \cos(k x)^2 k + \cos(k x)^2 k^3)
 \end{aligned} \tag{37}$$

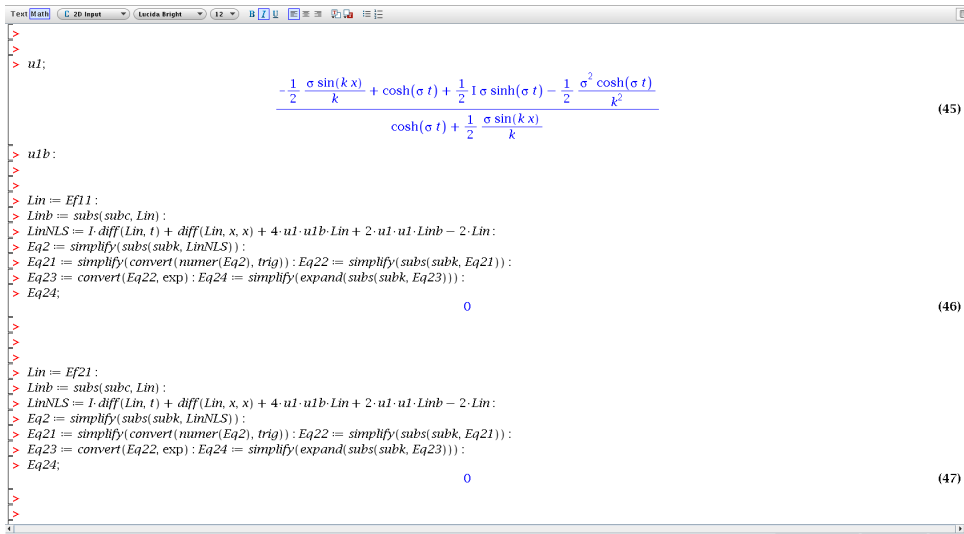
> Ef21;

$$\begin{aligned}
 & -\frac{1}{2} (I \sigma \sinh(\sigma t) \sin(3 k x) k^4 + 208 \sigma t \sinh(\sigma t) \sin(k x) k^4 - 24 \sigma t \sinh(\sigma t) \sin(k x) k^6 - 256 k^2 \sigma t \sinh(\sigma t) \sin(k x) - 8 I \sigma \sinh(\sigma t) \sin(3 k x) k^2 \\
 & + 40 I \sigma \sinh(\sigma t) \sin(k x) k^2 - 3 I \sigma \sinh(\sigma t) \sin(k x) k^4 + 16 I \sigma \sinh(3 \sigma t) \sin(k x) k^2 - 304 I k^6 t \cosh(\sigma t) \sin(k x) + 24 I k^8 t \cosh(\sigma t) \sin(k x) \\
 & + 128 I \sigma t \cosh(2 \sigma t) k^3 - 96 I \sigma t \cos(2 k x) k^3 - 32 I \sigma t \cosh(2 \sigma t) k^5 + 16 I \sigma t \cos(2 k x) k^5 + 1088 I k^4 t \cosh(\sigma t) \sin(k x) \\
 & - 1024 I k^2 t \cosh(\sigma t) \sin(k x) + 128 I \sigma t \cos(2 k x) k - 128 I \sigma t \cosh(2 \sigma t) k - 64 I \sinh(2 \sigma t) k + 32 \sigma \cosh(2 \sigma t) \cos(2 k x) k \\
 & - 8 \sigma \cosh(2 \sigma t) \cos(2 k x) k^3 - 256 I \sigma t k + 64 I \sinh(2 \sigma t) \cos(2 k x) k + 16 I \sigma \sinh(\sigma t) \sin(3 k x) + 256 I k^3 t \sigma - 32 I k^5 t \sigma \\
 & - 48 I \sinh(2 \sigma t) \cos(2 k x) k^3 + 8 I \sinh(2 \sigma t) \cos(2 k x) k^5 - 64 I \sigma \sinh(\sigma t) \sin(k x) - 16 I \sigma \sinh(3 \sigma t) \sin(k x) - 64 \sigma k \\
 & + 16 k^2 \cosh(\sigma t) \sin(3 k x) + 32 \sigma \cos(2 k x) k - 64 \sigma \cosh(2 \sigma t) k - 320 \sin(k x) k^2 \cosh(\sigma t) - 48 k^2 \cosh(3 \sigma t) \sin(k x) + 56 k^4 \sin(k x) \cosh(\sigma t) \\
 & - 3 \sin(k x) k^6 \cosh(\sigma t) - 8 k^4 \cosh(\sigma t) \sin(3 k x) + k^6 \cosh(\sigma t) \sin(3 k x) + 16 k^4 \cosh(3 \sigma t) \sin(k x) - 8 \sigma \cos(2 k x) k^3 - 256 t \sinh(2 \sigma t) k^3 \\
 & + 192 t \sinh(2 \sigma t) k^5 - 32 t \sinh(2 \sigma t) k^7 + 64 I k^3 \sinh(2 \sigma t)) / (4 \cosh(\sigma t)^2 k + 4 \sigma \sin(k x) \cosh(\sigma t) + 4 k - k^3 - 4 \cos(k x)^2 k + \cos(k x)^2 k^3)
 \end{aligned} \tag{38}$$

Memorv: 1789.92M | Time: 733.71s | Math Mode

# The “missed modes”

## Linearized NLS substitution:



The screenshot shows a Mathematica notebook with the following content:

```
>>
>> u1;
```

$$\frac{-\frac{1}{2} \frac{\sigma \sin(kx)}{k} + \cosh(\sigma t) + \frac{1}{2} I \sigma \sinh(\sigma t) - \frac{1}{2} \frac{\sigma^2 \cosh(\sigma t)}{k^2}}{\cosh(\sigma t) + \frac{1}{2} \frac{\sigma \sin(kx)}{k}} \quad (45)$$

```
>> u1b;
```

```
>> Lin := Ef11;
```

```
>> Linb := subs(subc, Lin);
```

```
>> LinNLS := I diff(Lin, t) + diff(Lin, x, x) + 4 u1 u1b Lin + 2 u1 u1 Linb - 2 Lin;
```

```
>> Eq2 := simplify(subs(subk, LinNLS));
```

```
>> Eq21 := simplify(convert( numer(Eq2), trig)); Eq22 := simplify(subs(subk, Eq21));
```

```
>> Eq23 := convert(Eq22, exp); Eq24 := simplify(expand(subs(subk, Eq23)));
```

```
>> Eq24;
```

$$0 \quad (46)$$

```
>> Lin := Ef21;
```

```
>> Linb := subs(subc, Lin);
```

```
>> LinNLS := I diff(Lin, t) + diff(Lin, x, x) + 4 u1 u1b Lin + 2 u1 u1 Linb - 2 Lin;
```

```
>> Eq2 := simplify(subs(subk, LinNLS));
```

```
>> Eq21 := simplify(convert( numer(Eq2), trig)); Eq22 := simplify(subs(subk, Eq21));
```

```
>> Eq23 := convert(Eq22, exp); Eq24 := simplify(expand(subs(subk, Eq23)));
```

```
>> Eq24;
```

$$0 \quad (47)$$



# The “missed modes”

Let us shift the spatial variable  $x \rightarrow x - L/4$ . We obtain:

$$u(x, t) = \frac{(2k^2 - \sigma^2) \cosh(\sigma t) + ik^2\sigma \sinh(\sigma t) + k\sigma \cos(kx)}{k(2 \cosh(\sigma t)k - \sigma \cos(kx))}$$

The even part of  $\widehat{\text{Sym}}_1$  is bounded in  $t$ . Denote by  $\widehat{\text{Sym}}_1$  the odd part of  $\text{Sym}_1$ . We have

$$\widehat{\text{Sym}}_1(x, t) = \frac{1}{2} (\text{Sym}_1(x, t) - \text{Sym}_1(-x, t))$$

$$\widehat{\text{Sym}}_1(x, t) = k \frac{\widehat{\text{Num}}_1(x, t)}{\mathcal{D}(x, t)}$$

Solution  $\text{Sym}_2$  becomes even in  $x$ , and reads

$$\text{Sym}_2(x, t) = \frac{\text{Num}_2(x, t)}{\text{Den}(x, t)}$$

# The “missed modes”

$$\begin{aligned}\widehat{\text{Num}}_1(x, t) = & \left\{ [48\sigma k^4 - 8\sigma k^6] \cosh(\sigma t) + [192ik^4 + 8ik^8 - 80ik^6] \sinh(\sigma t) \right\} t \sin(kx) + \\ & + \left\{ [8i\sigma k^2 - i\sigma k^4 - 16i\sigma] \cosh(\sigma t) + [8k^4 - 16k^2 - k^6] \sinh(\sigma t) \right\} \sin(3kx) + \\ & + \left\{ [-48ik^3 + 64ik + 8ik^5] \cosh(2\sigma t) + [32\sigma k - 8\sigma k^3] \sinh(2\sigma t) + \right. \\ & \left. + [8ik^5 - 48ik^3 + 64ik] \right\} \sin(2kx) + \\ & + \left\{ [-16i\sigma + 16i\sigma k^2] \cosh(3\sigma t) + [-64i\sigma + 40i\sigma k^2 - ik^4\sigma] \cosh(\sigma t) + \right. \\ & \left. + [16k^4 - 48k^2] \sinh(3\sigma t) + [-64k^2 - k^6 + 24k^4] \sinh(\sigma t) \right\} \sin(kx),\end{aligned}$$

$$\text{Den}(x, t) = 4 \left[ 4k \cosh^2(\sigma t) - 4\sigma \cosh(\sigma t) \cos(kx) + k(4 - k^2) \cos^2(kx) \right]$$

# The “missed modes”

$$\begin{aligned} \text{Num}_2(x, t) = & \left\{ 256i\sigma k - 192ik^3\sigma + 32ik^5\sigma \right\} t \cos(2kx) + \\ & + \left\{ [2176ik^4 + 48ik^8 - 2048ik^2 - 608ik^6] \cosh(\sigma t) + [416\sigma k^4 - 48\sigma k^6 - 512\sigma k^2] \sinh(\sigma t) \right\} t \cos(kx) - \\ & - 64k \left\{ [6k^4 - 8k^2 - k^6] \sinh(2\sigma t) + [4i\sigma k^2 - ik^4\sigma - 4i\sigma] \cosh(2\sigma t) + [-ik^4\sigma + 8i\sigma k^2 - 8i\sigma] \right\} t + \\ & + \left\{ [-2k^6 - 32k^2 + 16k^4] \cosh(\sigma t) + [-2i\sigma k^4 + 16i\sigma k^2 - 32i\sigma] \sinh(\sigma t) \right\} \cos(3kx) + \\ & + \left\{ [64\sigma k - 16\sigma k^3] \cosh(2\sigma t) + [-96ik^3 + 128ik + 16ik^5] \sinh(2\sigma t) + [64\sigma k - 16\sigma k^3] \right\} \cos(2kx) + \\ & + \left\{ [-96k^2 + 32k^4] \cosh(3\sigma t) + [-640k^2 + 112k^4 - 6k^6] \cosh(\sigma t) + \right. \\ & + [32i\sigma k^2 - 32i\sigma] \sinh(3\sigma t) + [-6i\sigma k^4 + 80i\sigma k^2 - 128i\sigma] \sinh(\sigma t) \left. \right\} \cos(kx) - \\ & - 64k \left\{ [2ik^2 - 2i] \sinh(2\sigma t) - 2\sigma \cosh(2\sigma t) - 2\sigma \right\}, \end{aligned}$$

$$\text{Den}(x, t) = 4 \left[ 4k \cosh^2(\sigma t) - 4\sigma \cosh(\sigma t) \cos(kx) + k(4 - k^2) \cos^2(kx) \right].$$